

Rev. 04/01

Docket No. AEOMICA-X-1

#16  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Penn et al.  
Application No.: 09/864,761 Confirmation No.: 6802  
Filed: : May 23, 2001  
For: : HUMAN GENOME-DERIVED SINGLE EXON NUCLEIC  
ACID PROBES USEFUL FOR GENE EXPRESSION  
ANALYSIS  
Group Art Unit : 1634  
Examiner : Jeanine Anne Goldberg

Hon. Commissioner  
for Patents  
c/o P.O. Box 2327  
Arlington, VA 22202

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TRANSMITTAL LETTER FOR  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information  
Disclosure Statement in the above-identified application.

This Statement is submitted:

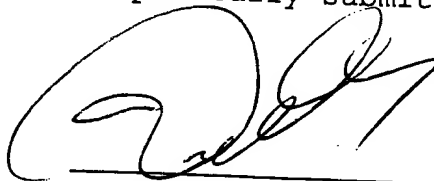
- ☐ within three months of the application filing  
date;
- ☒ more than three months from the application  
filing date but before the mailing date of  
the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of  
this Statement requires no fee. However, if for any reason  
a fee is due, the Director is hereby authorized to charge

payment of any fees required in connection with this  
Information Disclosure Statement to Deposit Account  
No. 06-1075. A duplicate copy of this letter is transmitted  
herewith.

Respectfully submitted,

2 OCT 2002



Daniel M. Becker  
Reg. No. 38,376  
Attorney for Applicants

I hereby Certify that the  
Correspondence is being  
Deposited with the U.S.  
Postal Service as First  
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Matt Caretto

10/02/02  
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,  
applicants hereby make the following documents of record in  
the above identified application: \*

U.S. Patents

5,942,417	08/1999	Jian et al.
5,879,898	03/1999	Tarin et al.
5,618,671	04/1997	Lindstroem

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\* Applicants reserve the right to challenge the status of any  
of the cited documents as prior art.

### Foreign Patents

WO 01/09369	02/2001	WIPO
WO 99/67422	12/1999	WIPO
WO 99/39004	08/1999	WIPO
WO 99/33979	07/1999	WIPO
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JP 03 147799	06/1991	JP

### Other Documents

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Ziegler et al., "Single-Cell cDNA-PCR: Removal of Contaminating Genomic DNA from Total RNA Using Immobilized DNase I," *BioTechniques* 13(5): 726-729 (1992).

Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

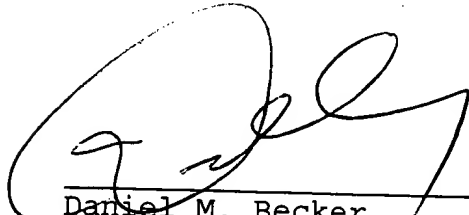
It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully  
requested.

Respectfully submitted,

I hereby Certify that this  
Correspondence is being  
Deposited with the U.S.  
Postal Service as First  
Class Mail in an Envelope  
Addressed to:  
HON. COMMISSIONER FOR PATENTS,  
Washington, D.C. 20231 on:

10/02/02  
Date of Deposit  
M-H  
Matt Casatko  
10/02/02  
Date of Signature

  
Daniel M. Becker  
Reg. No. 38,376  
Attorney for Applicants

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(650) 617-4000



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
**AEOMICA-X-1**SERIAL NO.  
**09/864,761**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
**Penn et al.**FILING DATE  
**May 23, 2001**GROUP  
**1645**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,942,417	08/1999	Jian et al.			
	5,879,898	03/1999	Tarin et al.			
	5,618,671	04/1997	Lindstroem			

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						YES	NO
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. <b>AEOMICA-X-1</b>	SERIAL NO. <b>09/864,761</b>
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT <b>Penn et al.</b>	
		FILING DATE <b>May 23, 2001</b>	GROUP <b>1645</b>

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FORM PTO-1449

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Docket No. AEOMICA-X-1

Applicant Penn et al.

Serial No. 09/864,761 Filed May 23, 2001

*Receipt is hereby acknowledged of the*  
Transmittal Letter in Duplicate; Supplemental Information  
Disclosure Statement; Form PTO-1449 in Duplicate;  
Fifty Two (52) References

Dated October 2, 2002

*Filed in connection with the above case.*

COMMISSIONER OF PATENTS  
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 Group Art Unit : 1631  
 Examiner : Cheyne Dune Ly  
 Representatives : Daniel M. Becker  
 Reg. No. 38,376  
 David A. Roise  
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**INDEX OF DOCUMENTS IN AEOMICA-X-1 IDS BOX # 1 OF 2**

**Documents from Supplemental Information Disclosure Statement filed October 2, 2002**

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